

ANZ CLIENT DISCLOSURE FOR SERVICES PROVIDED
UNDER A CROSS-BORDER ARRANGEMENT
(FOR SINGAPORE BASED CLIENTS ONLY)

October 2022

DISCLOSURE FOR SERVICES PROVIDED UNDER A CROSS-BORDER ARRANGEMENT (FOR SINGAPORE BASED CLIENTS ONLY)

To the extent that you are provided with the services in relation to (a) dealing in OTC derivatives (b) dealing in securities or (c) advising on corporate finance provided by a foreign related corporation and/or foreign office (“**ANZ FRC/FO**”)¹ of Australia and New Zealand Banking Group Limited, Singapore Branch (“**ANZ SG**”) (the “**Services**”), please note that: (a) the Services are provided by ANZ FRC/FO under a cross-border arrangement between ANZ FRC/FO and ANZ SG; and (b) the representative serving you is acting on behalf of ANZ FRC/FO.

Notwithstanding that the cross-border arrangement has been notified to the Monetary Authority of Singapore (“**MAS**”) under the Securities and Futures (Exemption for Cross-Border Arrangements) (Foreign Related Corporations) 2021 and Securities and Futures (Exemption for Cross-Border Arrangements) (Foreign Offices) Regulations 2021, ANZ FRC/FO and its representatives are not subject to regulation by the MAS and to the relevant business conduct and representative notification requirements under the Securities and Futures Act 2001 of Singapore (“**SFA**”). In particular, the ANZ FRC/FO representatives are not subject to regulation by the MAS and are not appointed, provisional or (if applicable) temporary representatives of ANZ SG. You can verify if a representative is a representative of ANZ SG by conducting a search of the representative’s name on the Register of Representatives² maintained by the MAS.

Nonetheless, ANZ FRC/FO and its representatives are subject to regulatory oversight by the foreign regulatory authority in the foreign jurisdiction where the regulated activities are carried out. In addition, ANZ SG has in place written policies and procedures governing the cross-border arrangement and the general conduct of ANZ FRC/FO and its representatives. If you have any enquiries about the cross-border arrangement and/or wish to highlight any issues regarding the ANZ FRC/FO representative serving you, please reach out to your usual contacts or Relationship Manager who will assist you accordingly. In addition, you may also reach out to the following contacts if further assistance is required:

- ANZ Markets Client Service (email: ANZMCS@anz.com)
- Singapore Branch Client Service Team (email: sg.clientservice@anz.com)

Furthermore, any information you have furnished to, or which relates to any transactions which you have entered into with ANZ FRC/FO, may be disclosed to the MAS or auditor appointed by ANZ SG, where required under the regulations.

Please note that the contents of this disclosure could be subject to change, you are advised to visit <https://institutional.anz.com/about-anz-institutional/disclosures> for the latest version of the disclosure.

¹ For a list of ANZ FRC/FO under the cross-border arrangement between ANZ FRC/FO and ANZ SG, please contact (a) ANZ Market Client Service (email: ANZMCS@anz.com) or (b) Singapore Branch Client Service (email: sg.clientservice@anz.com)

² Accessible at: <https://eservices.mas.gov.sg/rr>